#### **UTILITY PATENT APPLICATION TRANSMITTAL** (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 04995.106001

Total Pages in this Submission

#### TO THE ASSISTANT COMMISSIONER FOR PATENTS

**Box Patent Application** 

|                 |                  |             |          |                   |         | Washingto         | on, D.C. 20231    |               |                                 | 4- <b></b> |
|-----------------|------------------|-------------|----------|-------------------|---------|-------------------|-------------------|---------------|---------------------------------|------------|
| Trans<br>invent |                  |             |          | r filing under 3  | 5 U.S   | .C. 111(a) and    | 37 C.F.R. 1.53    | (b) is a new  | utility patent application for  | _,         |
| -               | DIC              | GITA        | L BRO    | ADCAST/ANA        | TOG     | BROADCAST         | RECEIVING A       | APPARATUS     |                                 |            |
| and in          | vente            | d by:       |          |                   |         |                   |                   |               |                                 |            |
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| Enclo           | sed a            | ıre:        |          |                   |         |                   |                   |               |                                 |            |
|                 |                  |             |          |                   |         | Application       | on Elements       |               |                                 |            |
| 1.              | $\boxtimes$      | Filing      | g fee as | s calculated ar   | nd trar | ismitted as de    | scribed below     |               |                                 |            |
| 2.              | ×                | Spec        | ificatio | n having          |         | 21                | pages and ir      | ncluding the  | following:                      |            |
|                 | a.               | $\boxtimes$ | Descrip  | otive Title of th | ne Inve | ention            |                   |               |                                 |            |
|                 | b.               |             | Cross    | References to     | Relat   | ed Application    | s (if applicable) |               |                                 |            |
|                 | C.               |             | Statem   | ent Regarding     | g Fede  | erally-sponsore   | ed Research/De    | velopment (i  | f applicable)                   |            |
|                 | d.               |             | Refere   | nce to Seque      | nce Lis | sting, a Table,   | or a Computer t   | Program List  | ing Appendix                    |            |
|                 | e.               | $\boxtimes$ | Backgr   | ound of the In    | ventic  | on                |                   |               |                                 |            |
|                 | f.               | $\boxtimes$ | Brief S  | ummary of the     | e Invei | ntion             |                   |               |                                 |            |
|                 | g.               | ×           | Brief D  | escription of t   | ne Dra  | awings (if filed) |                   |               |                                 |            |
|                 | h.               | ×           | Detaile  | d Description     |         |                   |                   | 11            | 1803                            |            |
|                 | i.               | ×           | Claim(   | s) as Classifie   | d Belo  | )W                |                   |               |                                 |            |
|                 | j.               | $\boxtimes$ | Abstrac  | ct of the Disclo  | sure    |                   |                   | P.            | 22511<br>ATENT TRADEMARK OFFICE |            |

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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|     |             | Application Elements (Continued)   |
|-----|-------------|--|
| 3.  | $\boxtimes$ | Drawing(s) (when necessary as prescribed by 35 USC 113)  |
|     | a.          | □ Number of Sheets 3     □ 3   |
|     | b.          | ☐ Informal Number of Sheets  |
| 4.  | ×           | Oath or Declaration  |
|     | a.          | ☑ Newly executed (original or copy) ☐ Unexecuted   |
|     | b.          | ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)  |
|     | C.          | ☑ With Power of Attorney ☐ Without Power of Attorney   |
|     | d.          | ☐ <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).  |
| 5.  |             | Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |
| 6.  |             | CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)  |
| 7.  |             | Application Data Sheet (See 37 CFR 1.76)   |
| 8.  |             | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)   |
|     | a.          | ☐ Computer Readable Form (CRF)   |
|     | b.          | ☐ Specification Sequence Listing on:   |
|     |             | i. CD-ROM or CD-R (2 copies); or   |
|     |             | ii. 🔲 Paper  |
|     | C.          | Statement(s) Verifying Identical Paper and Computer Readable Copy  |
|     |             | Accompanying Application Parts   |
| 9.  | $\boxtimes$ | Assignment Papers (cover sheet & document(s))  |
| 10. |             | 37 CFR 3.73(B) Statement (when there is an assignee)   |
| 11. |             | English Translation Document (if applicable)   |
| 12. | $\boxtimes$ | Information Disclosure Statement/PTO-1449   Copies of IDS Citations  |
| 13. |             | Preliminary Amendment  |
| 14. |             | Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  This application claims priority from  |
| 15. | $\boxtimes$ | Certified Copy of Priority Document(s) (if foreign priority is claimed)— Japanese Patent Application No.   |
| 16. | $\boxtimes$ | Certificate of Mailing 2002-241564, filed August 22, 2002  |
|     |             | ☐ First Class ☒ Express Mail (Specify Label No.): EV322007383US  |
|     |             |  |

### UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 04995.106001

Total Pages in this Submission

|     | <br>Accompanying Application Parts (Continued)   |
|-----|--|
| 17. | Additional Enclosures (please identify below):   |
|     |  |
|     |  |
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|     |  |
|     |  |
|     | Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)  |
| 18. | Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.  |
|     | Warning  |
|     | An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional. |
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07/18/03

## UTILITY PATENT APPLICATION TRANSMITTAL (Larg Entity)

Docket No. 04995.106001

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

#### **Fee Calculation and Transmittal**

|                   |                 | CLAIMS           | S AS FILED |      |                  |            |
|-------------------|-----------------|------------------|------------|------|------------------|------------|
| For               | #Filed          | #Allowed         | #Extra     |      | Rate             | Fee        |
| Total Claims      | 9               | - 20 =           | 0          | x    | \$18.00          | \$0.00     |
| Indep. Claims     | 3               | - 3 =            | 0          | x    | \$84.00          | \$0.00     |
| Multiple Dependen | t Claims (checl | k if applicable) |            |      |                  | \$280.00   |
|                   |                 |                  |            |      | BASIC FEE        | \$750.00   |
| OTHER FEE (spec   | cify purpose)   |                  | Assign     | ment |                  | \$40.00    |
|                   |                 |                  |            |      | TOTAL FILING FEE | \$1,070.00 |

| × | A check in the amount of  | \$1,030.00      | to cover the filing fee is enclosed.  |
|---|---------------------------|-----------------|---------------------------------------|
| X | The Commissioner is hereb | y authorized to | charge and credit Deposit Account No. |
|   |                           |                 |                                       |

as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of as filing fee.

☑ Credit any overpayment.

☐ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,

pursuant to 37 C.F.R. 1.311(b).

Dated: 7/18/03

Signature

50-0591

Jonathan P. Osha, Reg. No. 33,986 ROSENTHAL & OSHA L.L.P.

1221 McKinney, Suite 2800

Houston, Texas 77010 Telephone: (713) 228-8600

Facsimile: (713) 228-8778

CC:

| CERTIFICATE OF  Applicant(s): Toshihiro | MAILING BY "EXPRESS TAKAGI  | MAIL" (37 CFR 1.10)  | Docket No.<br>04995.106001  |
|---|---|--|---|
| Serial No.                              | Filing Date<br>07/18/2003   | Examiner   | Group Art Unit  |
| vention: DIGITAL B                      | ROADCAST/ANALOG BROADO  | CAST RECEIVING APPARATI  | US  |
| I hereby certify that th                | e following correspondence:                                       |  |   |
| Utility Patent A                        | application, Transmittal of Priorit                               | y Document, Information Disclos  | sure Statement  |
|   | (Identify type  | of correspondence)   |   |
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|   | th the United States Postal Servi                                 | ce "Express Mail Post Office to ρ  | OBOX 1450 Alexandria.   |
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| 37 CFR 1.10 in an en                    | th the United States Postal Servivelope addressed to: The Assista | Express Mail Post Office to present Commissioner for Patents, West Commissioner for Patents (Typed or Printed Name of Person Medical Patents (Typed Or Patents ( | OBOX 1450 Alexandria, Noshington, D.C. 20231-on 2016  Fadden Mailing Correspondence)  |
| 37 CFR 1.10 in an en                    | th the United States Postal Servivelope addressed to: The Assista | Brenda C. McF (Typed or Printed Name of Person Mailing) (Signature of Person Mailing)  | Padden  Gailling Correspondence)  Correspondence)                                     |
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| pplicant(s): Toshihiro    | MAILING BY "EXPRESS I<br>TAKAGI                                     | MAIL" (37 CFR 1.10)   | Docket No.<br>04995.106001   |
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| vention: DIGITAL BI       | ROADCAST/ANALOG BROADC  | CAST RECEIVING APPARATU   | JS .   |
| I hereby certify that the | e following correspondence:   |   |  |
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|                           | relope addressed to: <del>The Assista</del>                         | ρ   | OBOX 1450 Alexandria. UA   |
| 37 CFR 1.10 in an env     | relope addressed to: <del>The Assista</del>                         | ρ<br>nt Commissioner for Patents, <del>V</del><br>Brenda C. McF                             | 0Box 1450 Alexandria, VA<br>Vashington, D.C. 20231 on 22<br>149  |
| 37 CFR 1.10 in an env     | relope addressed to: <del>The Assista</del>                         | Prot Commissioner for Patents, We Brenda C. McF  (Typed or Printed Name of Person M. C. Y.) | OBox 1450 Alexandria, VA<br>Vashington, D.C. 20231 on 22<br>149<br>Sadden<br>ailing Correspondence)            |
| 37 CFR 1.10 in an env     | relope addressed to: <del>The Assista</del>                         | Brenda C. McF  (Typed or Printed Name of Person M  (Signature of Person Mailing of          | OBox 1450 Alexandria, VA Vashington, D.C. 20231 on 22 149  Gadden ailing Correspondence)  Correspondence)      |
| 37 CFR 1.10 in an env     | relope addressed to: <del>The Assista</del>                         | Prot Commissioner for Patents, We Brenda C. McF  (Typed or Printed Name of Person M. C. Y.) | OBox 1450 Alexandria, VA Vashington, D.C. 20231 on 22 14:  Cadden ailing Correspondence)  Correspondence)  SUS |